

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>		Docket No. <b>112300-1410</b>	
In Re Application Of: <b>Hughs-Baird et al.</b>			
Serial No. <b>10/657,588</b>	Filing Date <b>September 8, 2003</b>	Examiner <b>Unknown</b>	Group Art Unit <b>Unknown</b>
Title: <b>GAMING DEVICE HAVING AWARD POSITIONS WITH ASSOCIATED CHARACTERISTICS</b>			
Address to: <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>			
<b>37 CFR 1.97(b)</b>			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>			
<b>OR</b>			
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-1410

In Re Application: Hughs-Baird et al.

Serial No.  
10/657,588

Filing Date  
September 8, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

**GAMING DEVICE HAVING AWARD POSITIONS WITH ASSOCIATED CHARACTERISTICS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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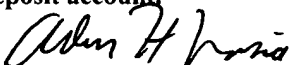
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\_\_\_\_\_  
Signature

Dated: December 11, 2003

Adam H. Masia  
Reg. No. 35,602  
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CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hughs-Baird, et al.  
Appl. No.: 10/657,588  
Filed: September 8, 2003  
Title: GAMING DEVICE HAVING AWARD POSITIONS WITH ASSOCIATED  
CHARACTERISTICS  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 0112300-1410

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

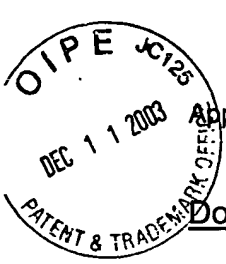
### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,448,419	May 15, 1984	Telnaes
4,624,459	November 25, 1986	Kaufman
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,775,155	October 4, 1988	Lees
5,205,555	April 27, 1993	Hamano
5,324,041	June 28, 1994	Boylan et al.
5,423,539	June 13, 1995	Nagao



Appl. No. 10/657,588

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,449,173	September 12, 1995	Thomas et al.
5,536,016	July 16, 1996	Thompson
5,542,669	August 6, 1996	Charron et al.
5,560,603	October 1, 1996	Seelig et al.
5,611,535	March 18, 1997	Tiberio
5,722,891	March 3, 1998	Inoue
5,769,716	June 23, 1998	Saffari et al.
5,772,509	June 30, 1998	Weiss
5,788,573	August 4, 1998	Baerlocher et al.
5,823,874	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
5,851,148	December 22, 1998	Brune et al.
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams
5,919,091	July 6, 1999	Bell et al.
5,935,002	August 10, 1999	Falciglia
5,951,397	September 14, 1999	Dickinson
5,988,643	November 23, 1999	Awada
5,989,121	November 23, 1999	Sakamoto
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,089,976	July 18, 2000	Schneider et al.
6,089,977	July 18, 2000	Bennett

Document No.

Date

Inventor

6,105,962	August 22, 2000	Malavazos et al.
6,126,542	October 3, 2000	Fier
6,129,355	October 10, 2000	Hahn et al.
6,142,873	November 7, 2000	Weiss et al.
6,159,098	December 12, 2000	Slomiany et al.
6,164,652	December 26, 2000	Lauretta et al.
6,173,955 B1	January 16, 2001	Perrie et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,227,969 B1	May 8, 2001	Yoseloff
6,227,971 B1	May 8, 2001	Weiss
6,231,442 B1	May 15, 2001	Mayeroff
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6,305,686 B1	October 23, 2001	Perrie et al.
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6,312,334 B1	November 6, 2001	Yoseloff
6,315,663 B1	November 13, 2001	Sakamoto
6,336,860 B1	January 8, 2002	Webb
6,346,043 B1	February 12, 2002	Colin et al.
6,347,996 B1	February 19, 2002	Gilmore et al.
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2003/0045338	March 6, 2003	Dolloff et al.
2003/0036420	February 20, 2003	Baerlocher et al.
2003/0064773	April 3, 2003	Baerlocher et al.



**Foreign Patents and Published Patent Applications**

<u>Patent/Application No.</u>	<u>Issued/Published</u>	<u>Country</u>
WO9732285	September 4, 1997	WIPO
EP 0945837 A2	September 29, 1999	Europe
WO 00/12186	March 9, 2000	WIPO

**OTHER DOCUMENTS**

All Grown Up advertisement written by IGT/Sodak, published in 2003.

Austin Powers brochure written by IGT, published in 2001.

Catch a Wave advertisement written by IGT, published in 2001.

Creepy and Kooky written by Frank Legato, published by Strictly Slots in July 2000, pp 52-54.

On the Money written by Casino Data Systems, published by Strictly Slots in December 2000, p. 49.

Red, White and Blue written by International Game Technology, published by Strictly Slots in 2000.

Slot Machines A Pictorial History of the First 100 Years, 5<sup>th</sup> ed., written by Marshall Fey, published in 1983, p. 17.

Slots 2003 written by Melissa Raimondi, published by Strictly Slots in January 2003, pp 50-57.

South Park brochure written by IGT, published by IGT in 2000.

The Price is Right brochure written by IGT, published by IGT in 2001.

UNO™ and Magic 8 Ball™ Slots Offer a One-Two Punch of Fun!, written by MegaJackpots™ News, undated, p. 22.

UNO Original instructions written by Mattel, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

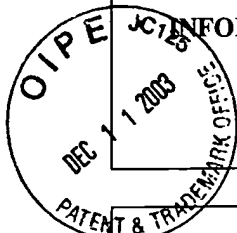
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Dated: December 11, 2003



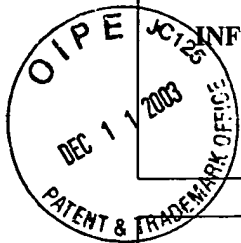
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-1410	Application No. 10/657,588
	Applicants Hughes-Baird, et al.	
	Filing Date September 8, 2003	Group Unknown

PT & TRADE

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		4,448,419	May 15, 1984	Telnaes			
		4,624,459	Nov. 25, 1986	Kaufman			
		4,695,053	Sept. 22, 1987	Vazquez, Jr. et al.			
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Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	





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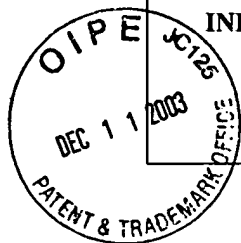
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	2003/0036420	Feb. 20, 2003	Baerlocher et al.			
	2003/0064773	April 3, 2003	Baerlocher et al.			

### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO9732285	Sept. 4, 1997	WIPO ✓				
	EP 0945837 A2	Sept. 29, 1999	Europe ✓				
	WO 00/12186	March 9, 2000	WIPO ✓				

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	All Grown Up advertisement written by IGT/Sodak, published in 2003.
	Austin Powers brochure written by IGT, published in 2001. ✓
	Catch a Wave advertisement written by IGT, published in 2001. -
	Creepy and Kooky written by Frank Legato, published by Strictly Slots in July 2000, pp 52-54.
	On the Money written by Casino Data Systems, published by Strictly Slots in December 2000, p. 49.
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	Slot Machines A Pictorial History of the First 100 Years, 5 <sup>th</sup> ed., written by Marshall Fey, published in 1983, p. 17.
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	UNO™ and Magic 8 Ball™ Slots Offer a One-Two Punch of Fun½, written by MegaJackpots™ News, undated, p. 22.
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